

Open Research Online

The Open University's repository of research publications
and other research outputs

IEA EBC Annex 57 'Evaluation of Embodied Energy and CO_{2eq} for Building Construction'

Journal Item

How to cite:

Birgisdottir, H.; Moncaster, A.; Houlihan Wiberg, A.; Chae, C.; Yokoyama, K.; Balouktsi, M.; Seo, S.; Oka, T.; Lützkendorf, T. and Malmqvist, T. (2017). IEA EBC Annex 57 'Evaluation of Embodied Energy and CO_{2eq} for Building Construction'. Energy and Buildings, 154 pp. 72–80.

For guidance on citations see [FAQs](#).

© 2017 Elsevier



<https://creativecommons.org/licenses/by-nc-nd/4.0/>

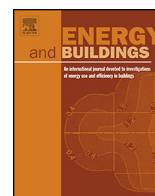
Version: Version of Record

Link(s) to article on publisher's website:

<http://dx.doi.org/doi:10.1016/j.enbuild.2017.08.030>

Copyright and Moral Rights for the articles on this site are retained by the individual authors and/or other copyright owners. For more information on Open Research Online's data [policy](#) on reuse of materials please consult the policies page.

oro.open.ac.uk



Replication Studies paper

IEA EBC annex 57 ‘evaluation of embodied energy and CO_{2eq} for building construction’

H. Birgisdottir^{a,*}, A. Moncaster^b, A. Houlihan Wiberg^c, C. Chae^d, K. Yokoyama^e,
M. Balouktsi^f, S. Seo^g, T. Oka^h, T. Lützkendorf^f, T. Malmqvistⁱ

^a Danish Building Research Institute, Aalborg University Copenhagen, A.C. Meyers Vænge 15, DK-2450 Copenhagen, Denmark

^b Open University, UK

^c Norwegian University of Science & Technology (NTNU), Norway

^d Korea Institute of Civil Engineering and Building Technology, Korea

^e Kogakuin University, Japan

^f Karlsruhe Institute of Technology (KIT), Germany

^g Urban Panaceas, Australia

^h Utsunomiya University, Japan

ⁱ Royal Institute of Technology (KTH), Sweden

ARTICLE INFO

Article history:

Received 31 March 2017

Received in revised form 14 August 2017

Accepted 14 August 2017

Available online 24 August 2017

Keywords:

Design process

Indicators;

Embodied energy

Embodied greenhouse gas emissions

System boundaries

Life cycle assessment

Database

ABSTRACT

The current regulations to reduce energy consumption and greenhouse gas emissions (GHG) from buildings have focused on operational energy consumption. Thus legislation excludes measurement and reduction of the embodied energy and embodied GHG emissions over the building life cycle. Embodied impacts are a significant and growing proportion and it is increasingly recognised that the focus on reducing operational energy consumption needs to be accompanied by a parallel focus on reducing embodied impacts. Over the last six years the Annex 57 has addressed this issue, with researchers from 15 countries working together to develop a detailed understanding of the multiple calculation methods and the interpretation of their results. Based on an analysis of 80 case studies, Annex 57 showed various inconsistencies in current methodological approaches, which inhibit comparisons of results and difficult development of robust reduction strategies. Reinterpreting the studies through an understanding of the methodological differences enabled the cases to be used to demonstrate a number of important strategies for the reduction of embodied impacts. Annex 57 has also produced clear recommendations for uniform definitions and templates which improve the description of system boundaries, completeness of inventory and quality of data, and consequently the transparency of embodied impact assessments.

© 2017 Elsevier B.V. All rights reserved.

1. Introduction

The conservation of energy and material resources, and the protection of the global climate, are key goals of sustainable development. Over 40 percent of global energy consumption and about 30 percent of global greenhouse gas (GHG) emissions can be contributed to the building sector [1,2]. While regulations have reduced energy consumption in the operation of new buildings, the combined effects of an increasing population and high construction rates are nevertheless likely to see these contributions

rise further in the future. It is clearly imperative that the current efforts to reduce GHG emissions from the building sector should be intensified.

The current regulations to reduce energy consumption, and thereby GHG emissions, from buildings have focused on the operational phase of the building [3,4]. Calculations of operational impacts have become increasingly accurate, and have led to the design of highly energy efficient building envelopes and systems. One example of the effectiveness of this policy is demonstrated by Denmark, where the requirements for operational energy use in new buildings has reduced to less than one third over the last 25 years [5].

Importantly, however, legislation currently excludes measurement and reduction of the embodied energy and greenhouse gas emissions (EEG) of buildings. These are the impacts from manufacturing the construction materials, and constructing, maintaining,

Abbreviations: GHG, greenhouse gas; EE, embodied energy; EG, embodied GHG emissions; EEG, embodied energy and GHG emissions.

* Corresponding author.

E-mail address: hbi@sbi.aau.dk (H. Birgisdottir).

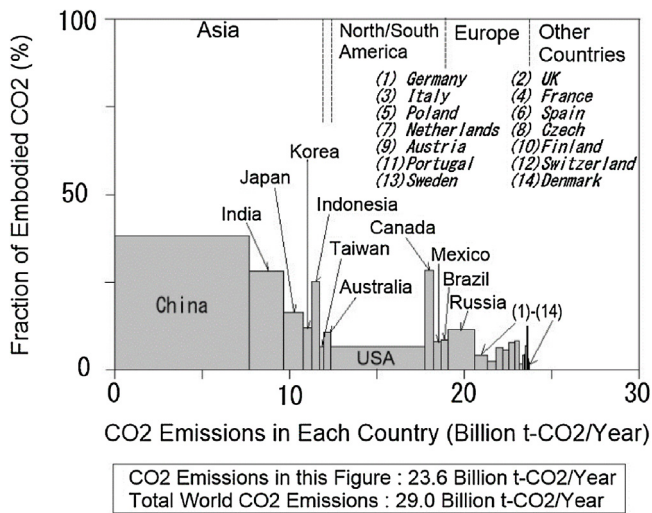


Fig. 1. Total CO₂ emissions in each country and the fraction of embodied CO₂ [8].

refurbishing and deconstructing the buildings, and are a significant and growing proportion; for example, 72% of the whole life GHG and 50% of the whole life energy over 80 years for a Danish office [6], and 60% of whole life GHG and 33% of energy over 58 years for a UK school building [7].

A macroeconomic analysis can identify the share of embodied impacts by country. An estimation of the total CO₂ emissions in various countries and the corresponding fractions of embodied CO₂ emissions due to building construction and public works are shown in Fig. 1 as a result of analysis of world Input-output (IO) tables. The embodied energy and CO₂ emissions differ depending on the building design, the energy intensity of materials, the national energy mix and the quantity of materials used [9], but are clearly significant.

It is increasingly recognised therefore that the focus on reducing operational impacts needs to be accompanied by a parallel focus on reducing embodied impacts.

Methodological improvements have been made in recent years in developing and harmonising the life cycle assessment method for buildings, by International standards such as ISO 2129-1 [10], ISO 21931-1 [11] and the European standards developed by Technical Committee TC350, including EN 15643-2 [12] and EN 15978 [13]. In these standards, environmental product declarations (EPD) of construction products, which utilize process based life cycle analysis methods, are seen as a source of information – see also ISO 21930 [14] and EN 15804 [15] (both currently under revision). Especially in Europe, the use of EPD's is well advanced. However, other areas of the world continue to apply alternative methods based on input-output and hybrid analyses (for example in Australia: [16], and in the US: [17], and there is considerable evidence to show that calculation approaches, methods, indicators and data continue to vary greatly [18–24]. These authors and others make it clear that existing standards are not delivering enough harmonization in all of the approaches, and that more work is needed.

Over the last six years the International Energy Agency Energy in Buildings and Communities Programme (IEA EBC) Annex 57 on 'Evaluation of Embodied Energy and CO_{2eq} for Building Construction' has addressed this issue, with researchers from 15 countries working together on this topic [25,26]. The two main research questions were: 'How should the continued limitations and variations in the assessment of embodied impacts of buildings be addressed and overcome?', and 'How should embodied impacts of buildings be reduced?'. To supplement these questions, other subsidiary questions were: 'How should EEG be better linked to protection goals

and sustainability?', 'What are the trends since 1990 and what is the current state of the art in dealing with embodied impacts of buildings in the academic literature?' and 'What is the current state of practice in methodologies, and availability of data, for use in assessing embodied impacts of buildings?'.

The work within Annex 57 was therefore divided into four sub-task groups, each with a specific objective related to each research question. These are covered in detail in the published reports of the subtasks (references in parentheses), and are described in this paper in the following order:

- Section 2 considers the scientific discussion through an evaluation of the available literature, based on the work of subtask 2 [27]. It also summarizes information on data and methodologies currently used in embodied impact assessments, based on the work of subtask 3 [28].
- Section 3 describes the resultant recommendations for indicators and system boundaries and develops a unified description of the building, its life cycle and data needs, based on the work of subtask 1 (Lützkendorf and Balouktsi, 2016a).
- Section 4 describes how the analysis of 80 case studies was used to develop approaches for the policy, design and construction of buildings with low EEG, based on the work of subtask 4 [29].

Based on the reports of the subtasks, Annex 57 has also published a set of user-friendly guidelines for various stakeholders, including Design Professionals and Consultants, Policy Makers, Construction Product Manufacturers, and Educators, to support their individual decision-making processes (see [30–34]. These guidelines are based on the work which is published in the subtask reports.

The purpose of this paper is to provide an overview of the work of the Annex 57. It outlines the main activities and findings of the project, and points interested readers towards the published sub-task reports; there is not the space to describe the research of this project in detail, but we hope that interested readers will access the subtask reports and guidelines and the forthcoming papers addressing individual research outcomes.

2. Methods and data for embodied impacts of buildings

Subtasks 2 and 3 considered the research questions "What are the trends in the field of 'embodied impacts' over the last decades?" and "What is the current state of the art in the determination and assessment of embodied impacts of buildings in the academic literature?" An initial literature review was based on a search under the keywords "Embodied energy", "Embodied GHGs" and "Embodied CO₂" (EEG) through the website of ScienceDirect. Over 3822 relevant books, journals and papers were identified between 1990–2013. As shown in Fig. 2, the interest in EEG has been grown drastically since 2006. Approximately 250 of these publications were selected for in-depth analysis based on their relevance to the building and construction sector.

2.1. LCA methodologies

The review of these publications showed that several variations of LCA methodologies have been applied to assess EEG. The choice of method for developing product data usually depends on the purpose and scope of the task, the required level of detail, the acceptable level of uncertainty, and available resources (data, time, human resources, know-how and budget).

Input-output (I-O) LCA, which uses sectoral monetary transactions data (national input output data) to account for the complex interdependencies of industries in modern economics, has been

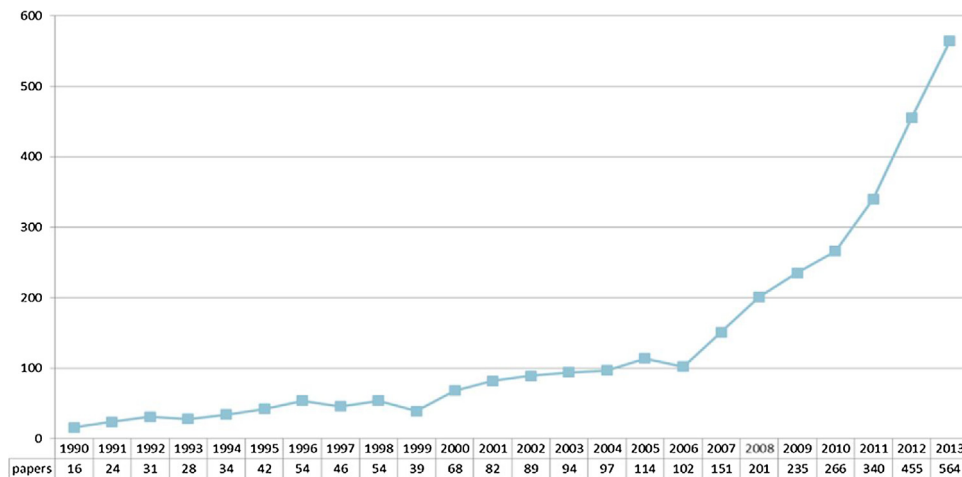


Fig. 2. Number of published literature in embodied energy/GHG study [27].

widely used to understand impacts at the national or global level [35]. Meanwhile process-based LCA, collecting data for specific unit processes and linking them into larger processes to model the environmental impacts of product or system over its life cycle, has been applied increasingly frequently in order to understand environmental impacts at a building level [27]. However, I-O methods are also used at the building level and component level, especially in countries where there is insufficient process-based LCA data.

A number of hybrids of the two methods have been proposed, which either start with an IO table and add process data for specific manufacturing processes, or start from a process based LCA and add inputs for which no process LCA data is available. Applications of all three LCA methods can be found at the material and construction product level [28].

Table 1 summarizes the key characteristics of the three main methods.

2.2. Databases and alternative sources of information

For the assessment of EEG of a building, the availability and accessibility of data for building materials and construction products is clearly important [27]. This information should be reliable and comparable so as to allow for useful comparisons to be drawn between different products and materials. At present, not all data use consistent boundaries, and product specific data from manufacturers are often incompatible with the more generic product data [27,36]. Variations in system boundary settings, modelling approaches and background data considerably influence the outcomes [27].

The literature shows that field survey, in which data is gathered by assessing energy related parameters directly from processes of factories or building sites, is the most common method used at every level of building parts (Fig. 3).

Other potential data sources include the academic literature, simulation, and LCI databases including commercial databases such as EcoInvent. Analysis of the literature however shows that transparency and traceability of data is sometimes lacking. Researchers also use different terms and definitions (for example, “embodied energy” is referred to as “grey energy” in the Swiss context [37]), and have set different system boundaries, research study periods, and calculation parameters, depending on their study purpose and the chosen methodology [38].

It is therefore more advisable to use available databases and additional LCAs subject to a quality control. Where a new database is being developed or an existing one added to, for both process and

I-O methods, Seo and Foliente [28] propose that it should adhere to at least six minimum requirements shown as Table 2.

Where such information is not available, specific field studies following the international standards should be used.

3. Proposals by annex 57: basic approach and definitions of EEG

In response to the problems identified and issues raised in the previous part, this section briefly discusses the methodological bases for the determination, assessment and presentation of EEG, as well as bringing forward proposals for their harmonization. It therefore offers the first part of the response to the question ‘How should the continued limitations and variations in the assessment of embodied impacts of buildings be addressed and overcome?’, and also addresses the question: ‘How should EEG be better linked to protection goals and sustainability?’

3.1. Terms and definitions

A broad diversity of terms on the one hand, and the uncertainty about how to interpret these terms on the other hand, are identifiable in the relevant literature [39–41].

Annex 57 has focused on two particular criteria within the environmental performance assessment of construction works: the consumption of primary (non-renewable) energy resources and the amount of GHG emissions caused by buildings, during their production and construction, and their maintenance and end-of-life.

The conservation of resources and the reduction of adverse effects on the climate are also two essential protection goals [42], part of the current sustainable development goals (SDG’s) [43] and part of the environmental dimension of sustainable development. Protection goals can be considered a suitable basis for the development of assessment criteria for buildings and constructed assets.

To improve transparency, Annex 57 has proposed a consistent terminology for the quantification of embodied energy (EE) and embodied GHG emissions (EG), as shown in Table 3.

The indicators PE_f and PE_{nr} are derived from considerations related to resource depletion, and thus the environmental targets covered here are the “protection of fossil energy resources” and the “protection of non-renewable energy resources”. These are the two main indicators identified within Annex 57, covering the practical applications across the world – representing the supply side. The indicator PE_t is derived from considerations related to the total primary energy demand of a building – here as a partial term for production, construction, repair and replacement and end of life.

Table 1
Summary of different embodied impact calculation methods [28].

Method	Process method	IO analysis	Hybrid analysis
Data input	<ul style="list-style-type: none"> ● Company data ● Associations data ● Industrial data (statistics) ● Public authorities data (e.g. road transport emissions and energy consumption), energy and environmental performance of power plants, waste incinerators etc.) ● Scientific publications 	<ul style="list-style-type: none"> ● National statistics on annual sectorial production (physical and monetary), imports, exports, investments and consumption ● National statistics or information on intersectorial purchases and delivery of intermediate products and services ● National statistics on annual emissions and resource consumption, ● Allocation of the national emissions and resource consumptions to the economic sectors. 	<ul style="list-style-type: none"> ● Process data ● LCI data ● Economic data ● Economic input-output data
Data output	kg CO _{2eq} , MJ etc per product or building based	kg CO _{2eq} , MJ etc per monetary based (\$)	kg CO _{2eq} , MJ etc per product or building based
Calculation approach	Matrix inversion or sequential accumulation	Economical input-output matrix inversion	Combined “Process” & “IO” methods

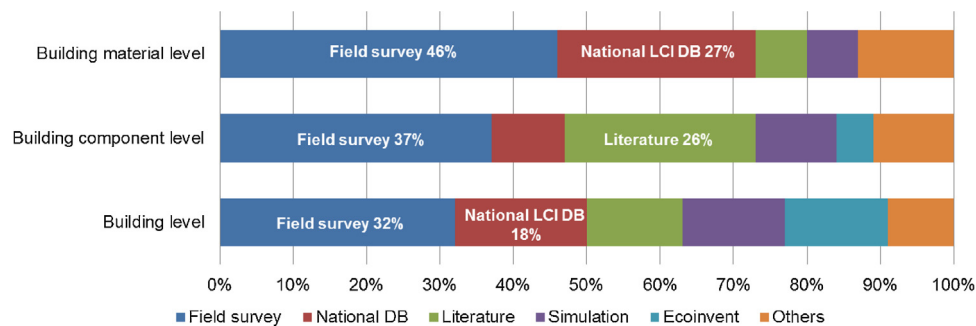


Fig. 3. Common LCI database source in different level of building parts [27].

Table 2
Minimum requirements for EEG-database for construction products [28].

Item	Description
Materiality	Should cover the most significant construction materials and building technologies
Consistency	Analysis of all construction materials follows the same modelling principles, apply the same system boundaries.
Transparency	This transparency enables the user to independently check the data quality of the underlying data.
Timeliness	The age of a dataset provided in a database is determining its quality.
Reliability	The data used to establish a dataset sourced from reliable information sources.
Quality control	Datasets offered in a database should undergo an independent and external verification or critical review.

Table 3
Different core and additional indicators recommended by Annex 57 in comparison to existing standards [30].

ASPECT	LIST OF INDICATORS (Annex 57)	ISO 21931-1 [11]	EN 15978 [13]
EE (MJ)	CORE – Consumption of primary energy fossil [PE _f]	Not included in this version (ADP .fossil to be included in the revised version)	Abiotic depletion potential (ADP.fossil fuels) for fossil resources
	CORE – Consumption of primary energy non-renewable (fossil plus nuclear energy sources) [PE _{nr}]	Use of non-renewable primary energy resources	Use of non renewable primary energy excluding non renewable primary energy resources used as raw materials
	CORE – Consumption of primary energy total (renewable + non-renewable) [PE _t]	Two indicators are added up: * Use of non-renewable primary energy resources * Use of renewable primary energy resources	Two indicators are added up: *Use of non-renewable primary energy excluding non-renewable primary energy resources used as raw materials * Use of renewable primary energy excluding renewable primary energy resources used as raw materials
	ADD – Consumption of fossil fuels as feedstock	Use of non-renewable material resources	Use of non-renewable primary energy resources used as raw materials
	ADD – Consumption of biomass as feedstock	Use of renewable material resources	Use of renewable primary energy resources used as raw materials
EG (kgCO_{2eq}.)	CORE – Global Warming Potential [GWP 100]	Global Warming Potential, GWP	Global Warming Potential, GWP
	ADD – F-gases as identified in Montreal Protocol	It is not identified as a separate indicator	It is not identified as a separate indicator
	ADD – Stored Carbon	It is not identified as a separate indicator in this version (but to be included in the revised version)	It is not identified as a separate indicator

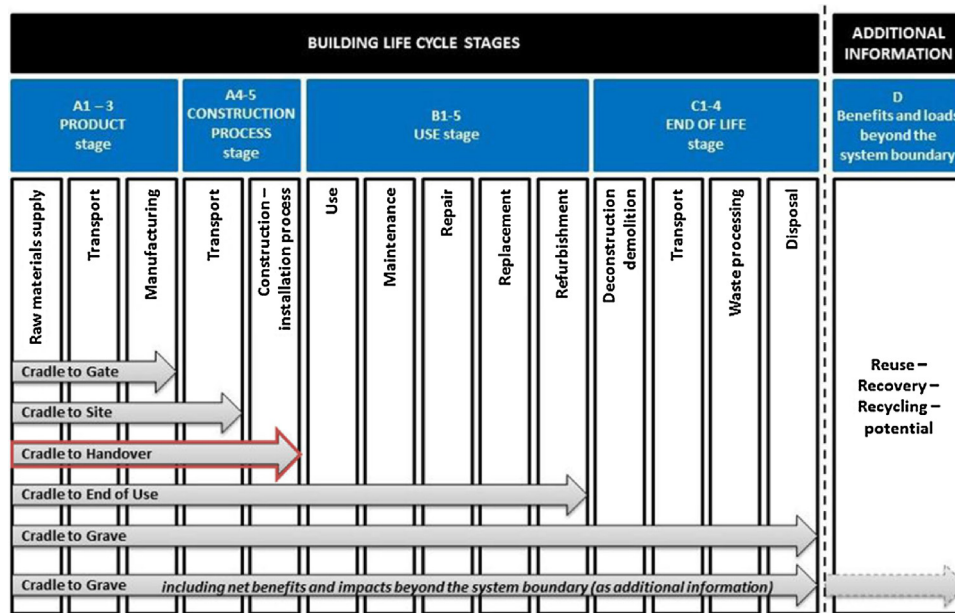


Fig. 4. Proposed model for system boundary description and selection (modular structure adapted by EN 15978:2011) [45].

However, primary energy resources can often serve two different purposes; their consumption can be both energy-related and non-energy-related. The latter case, known as feedstock energy, is the primary energy (resources) which is not consumed as a fuel, but used as a raw material. This applies to specific products embodying fossil materials without using them as a fuel, e.g. petrochemicals may be used as feedstock to make plastics and rubber, or biomass may be used as feedstock to make timber products. Currently one of the least stated parameters by most of the existing studies [44], feedstock energy should be included in all cases from the theoretical point of view, and should always be reported separately as an additional indicator (a distinction between renewable and non-renewable feedstock is necessary). The related ISO/TC 59/SC 17 SC and CEN TC 350 standards do not use the term “feedstock energy”, but do include indicators to describe these two cases (energy and non-energy related) of resource use, as shown in Table 3.

The indicator GWP 100 is defined according to the most recent IPCC report [2]. In all cases, process emissions which result from specific chemical effects, e.g. CO₂ emitted as a chemical reaction in cement manufacture, are also included. If stored carbon is calculated, it should be reported separately as an additional indicator. In terms of the F-gases emitted due to use of specific insulation materials (e.g. XPS and SPF) and refrigerators or A/C equipment, although their release occurs during the use phase, decisions are taken during the construction phase. So far, there is no clear guidance on whether these emissions should be included within embodied or operational impacts. Annex 57 recommends that F-gases due to the use of specific insulation materials or specific equipment are reported as a separate indicator within embodied impacts, as shown in Table 3.

In comparison with the current standards, Annex 57:

- describes selected individual aspects in more detail
- establishes closer ties with protection goals
- introduces the PET indicator to describe the total demand for energy
- identifies stored carbon as a separate indicator, and
- deals with process-related emissions in a more transparent way.

It should be noted that different sources of energy can be included in the indicators quantifying embodied energy, and that different GHG emissions can be included in the kgCO₂eq.

A clear statement is needed in order to determine the exact character and scope of each indicator and allow comparisons between data, described further in Lützkendorf and Balouktsi [45].

3.2. System boundaries

Clear definition of the temporal and physical system boundaries is important to ensure transparency and comparability. The international and European standards, ISO14025 [46] and EN 15804 [15] for construction products and ISO 21931-1 [11] and EN 15978 [13] for building structures describe the life cycle of construction products and structures. Annex 57 complements these standards by providing working aids to facilitate documentation and improve transparency for the multiple stakeholders involved in the process.

For the temporal system boundaries, Annex 57 proposes a range from “cradle to gate” to “cradle to grave” plus the benefits and loads beyond the system boundary (Fig. 4). While recommending that embodied impacts from all life cycle stages (“cradle to grave”) should be considered for building-level EEG analyses where possible, Annex 57 suggests the system boundary “cradle to handover” is the minimum information required for a building. This establishes a minimum reporting requirement at the building level, just as “cradle to gate” impacts have become mandatory for construction products, and also allows for meaningful comparisons with construction costs.

The physical system boundaries, meaning which parts of the physical building are included in the assessment, also need to be specified and reported clearly; Lützkendorf and Balouktsi [45] propose a clear checklist approach.

For the maximum possibility of reduction, EEG considerations need to be considered at the earliest design stages. One method is the inclusion of a “budget” for EEG as a project objective in the client’s brief. Where suitable databases are available, designers should be able to link material quantities with the related EEG data, overcoming the obstacle of designers carrying out a complete life cycle assessment.

This section has addressed inconsistencies in the description of the indicators and the system boundaries of the assessment, and has considered practical approaches to the inclusion of embodied impacts in the decision-making processes related to building design. Appropriate construction product data is also required, and

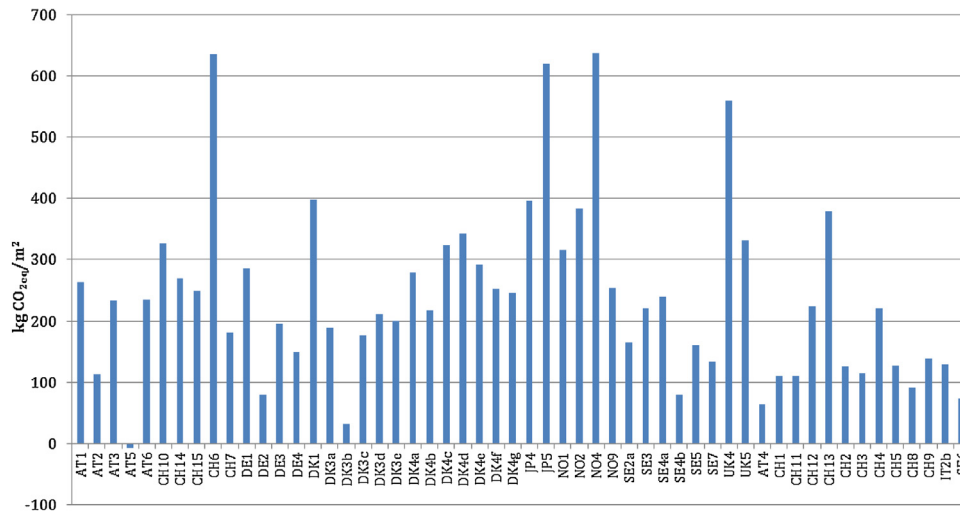


Fig. 5. Cradle-to-gate (A1-A3) EG from available Annex 57 case studies in kg CO_{2eq}/m². [29].

the provision and calculation of such data from a “data supplier” perspective are considered in the following section.

4. Case studies results and measures to reduce EEG on building level

The final task was to develop an understanding of the methodological choices and design strategies to reduce EEG emissions in building design and construction, in response to the question ‘How should embodied impacts of buildings be reduced?’. It should be noted that of course embodied impacts are just one aspect of a multi-criteria decision-making process.

Over 80 building case studies were collected from within the Annex 57 group, and collated into a specially prepared template format, as a method for reporting dissimilar case studies with increased transparency, and for documenting the minimum data requirements proposed by Annex 57. The template included information on the building or project, length of reference study period, life cycle stages included, and database used. Sequential levels of analyses were then applied; the first analysed the impacts of methodology; the second used this understanding to interpret the relative impacts of different life cycle stages, components and building typologies; and the third built further on this to identify the potential design and construction strategies for reducing EEG [29,47]. These are described in the sub-sections below. A fourth, qualitative, analysis on the impact of context on decisions, is included in the subtask report and in future publications but not covered further in this paper.

4.1. Impact of methodology on numerical results

A number of different methodological choices were identified within the collected case studies. Firstly there was a large variation in which life cycle stages were included in the different case studies. The majority include results for the production ((A1-A3: 98%) and replacement modules (B4: 71%). Just over half included waste processing and disposal (C3-C4: 55–61%) and reuse, recycling and disposal modules (D: 44%). Around one forth included the construction process stage (A4-A5: 23–26%), one fifth of the case studies included deconstruction, demolition and transport modules (C1-C2: 19–20%) and finally, a small percentage dealt with use and maintenance modules (B1-B2: 1–10%). This correlates well with the review carried out by Pomponi and Moncaster [21].

Focusing just on the cradle-to-gate (A1-A3) embodied GHG emissions in a selection of the Annex 57 case studies, Fig. 5 demonstrates the high variability in numerical results; in fact there is a factor of almost 100 between, –6.8–637 kg CO₂/m². A detailed comparative analysis of these studies shows that the deviation in results is in part due to other methodological factors. One example is the purpose of study and the subsequent level of detail of the data used. The Norwegian case study (NO1) and the Swedish cases (SE2b and SE4) are based on the early design stage with correspondingly lower level of details. By contrast, another Norwegian case study of a comparable building (NO4) is an “as built” case study based on a highly detailed inventory. It can be seen that the EG is twice as high for the “as built” case compared to the early design case. A second example of methodological choice is that of functional equivalent, such as the specification of the area of the building. For example the heated floor area in the Norwegian cases is measured to the inside of the external walls while in Denmark it is measured to the outside of the external walls.

There are many other methodological differences, including the LCA method used, the system boundaries, the assumed future scenarios for service life of materials and end-of-life treatments, the reference study period, and the source of data. These differences and their effects on the outcomes are explained in more detail in Birgisdottir et al. [29] and Rasmussen et al. [20].

The variations in the methodologies used in these recent case studies illustrate that, despite the development of International and European standards [11,13], there remain multiple methodological approaches, and there is still a need for further guidelines and for the transparent and comprehensive declaration of methodological choices.

4.2. Relative EEG due to different life cycle stages and different components

The second analysis considered the relative contributions to EEG from different life cycle stages, building elements and different materials. As shown in Fig. 6, some generally accepted trends were supported by this analysis, including the dominance of the production stage (modules A1-A3) as a proportion of whole life EG for new buildings. However in some cases the replacement and refurbishment module (B4-B5) was within the same range as shown in Fig. 6.

Where they were included in the assessment, and in particular where the replacement stage was calculated, services components

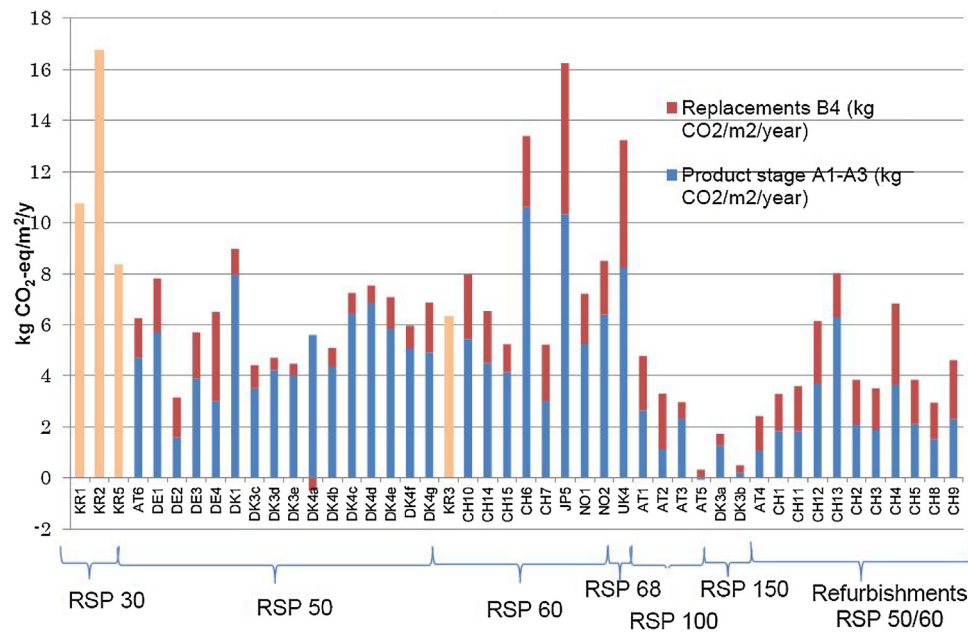


Fig. 6. Cradle-to-gate (A1-A3) + replacement (B4) EG from available Annex 57 case studies. Orange bars indicate case studies where reported results are a sum of production and replacement impacts. (For interpretation of the references to colour in this figure legend, the reader is referred to the web version of this article.)

such as heating and ventilation systems and PV were found to be responsible for a high proportion of the whole life EEG. In the German case studies (DE1–DE4) technical equipment accounts for 18–46% of the life cycle EG in a building and 12–30% of EE. In all the Norwegian case studies (NO1–4), the PV was consistently found to be responsible for 30% of the EEG, and for high replacement emissions due to a relatively short lifetime of 20 years. Birgisdottir and Rasmussen [48] suggest that the contribution of such components will become higher with the increased focus on self-sufficient energy buildings, in which EE of services components count for 40% of the total life cycle EE. However these components are currently frequently excluded from assessments, often due to lack of data [49].

4.3. Strategies for the reduction of EEG in buildings

The third analysis builds upon the insights gained from the analyses above, in order to develop EEG reduction strategies. Three overarching strategies have been identified and include; substitution of materials, reduction of resource use, and reduction of construction and end-of-life stage impacts.

For the first category, a number of case studies demonstrate that substitution with bio-based materials will reduce EEG due to the low-energy production methods [50–55]. Substitution of timber in large building components has a relatively high potential to reduce embodied greenhouse gas emissions. However, there are large variations in reduction from 27 to 77% depending on the building design [29].

Substitution using recycled materials (which have undergone reprocessing or renewal) and reused components (with minimal treatment) was also considered in several case studies. This showed a clear, and sometimes large, potential for reduced EEG. A UK case study looking into the use of cement substitutes and recycled aggregate in concrete for the Olympic stadium showed 12% reduction through cement substitution [56]. A Danish case study on a residential building showed EEG reductions of 75–80% through the widescale use of recycled and reused components [57]. However ambiguities still exist in the calculation methodologies regarding impact allocation for the recycled and reused materials.

Within the second strategy, reduction of resource use, the reduction of virgin material use though the use of light-weight construction, or through the recycling and reuse of materials and structures, are both shown to be effective approaches (e.g. [58–61]. Service life extension, where coupled with the use of more durable components, is also shown to decrease EEG (e.g. [62,63]. Only limited studies exist which examine the impact of strategies such as design for flexibility, adaptability and reuse (e.g. [64,57]. One is a Danish residential case study building which integrates the external wall elements so that they can be easily reused if extending the living area of the house, and has an internal wall system which can easily be moved to change the lay-out of rooms. These strategies can half the replacement EEG emissions in module B5 [57].

Finally, while the construction module (A5) and the end of life stage modules (C1–C4 and D) were found to contribute a small share of the total EEG, the type of energy-carrier, energy efficiency on site, site waste management, and seasonal timing of construction work, were shown to have the potential to reduce EEG (e.g. [65].

5. Summary and conclusions

An intensive focus on lowering the operational energy consumption in buildings during the past decades has had a marked and widespread effect. From 2020 there will be a requirement for all new buildings to have “nearly-zero energy” in operation in Europe [3,4]. Embodied impacts (EEG) can already equal 50–70% of the total impacts of a building's life cycle over 80 years [6], and this will grow both proportionally and in real terms with the reduction of operational impacts. Embodied impacts can therefore no longer be ignored as part of the overall performance and environmental sustainability of construction works and their consideration and calculation should become the norm worldwide.

There are already standards for the determination and assessment of the environmental performance of buildings that include embodied impacts, but they do not always define and present the related system boundaries and indicators in a practice-oriented way, leaving a broad room for interpretation. The collection of 80 case studies from multiple countries by Annex 57 revealed the extent and number of methodological differences that can

arise, and clearly demonstrated the need for basic principles, data and planning recommendations in order to ensure that EEG assessments are transparent and traceable. The Annex 57 results contribute towards addressing the limitations and variations identified, and creating a common understanding among practitioners around the world. Three particular results that can provide a basis and stimulus for the development of the current revisions of ISO 21931-1 [11] and EN 15643-2 [12] include: the recognition of the time of completion of the building as an important temporal system boundary; the clarification that feedstock energy and biogenic carbon shall be treated and communicated as additional information; and the allowance of flexibility in the selection of system boundaries while setting, at the same time, clear rules for documentation to improve and ensure transparency. It can be argued that the existing standards do not speak the “language” of practitioners and should be complemented by guidelines. The actor-specific guidelines of Annex 57 are first proposals to this end, which can be further developed into technical specifications (ISO TS). In particular, the various templates designed by Annex 57 for the description of indicators, checking the completeness of the building and its life-cycle model, as well as the declaration of boundary conditions for case studies, will form a useful basis for this purpose. Against the background of existing data gaps, it is currently not necessary to define uniform requirements and structures for databases. Rather, the transparency of current databases has first to be improved. Whether and which specific standards have been used as a basis for their development should be clearly indicated. In the medium term, in the field of data generation, a stronger orientation towards ISO 21930 (a new version of 2017 is currently available) and EN 15804 (currently under revision) can be recommended.

Reinterpreting the large set of case studies through an understanding of the influence of the different methodologies has also enabled the demonstration of a number of important strategies for the reduction of embodied impacts.

6. Further research and follow-on activities

During the course of the research, a number of questions arose, and the authors suggest the following questions for further study in the embodied impacts of buildings:

How can the effects of the durability/longevity of buildings be better taken into account? And, what is the appropriate reference study period for each building type and type of use?

- How can the construction product industry be motivated to close the existing data gaps, particularly in the field of building services and equipment?
- How should future technological advances in efficiency, and future changes in the electricity grid, be accounted for in replacement of products?
- What are the possibilities for new calculation methods and models (BIM) to lead to a greater consideration of embodied impacts in the design process?

One possibility for dealing with these questions is provided by the new international project IEA EBC Annex 72 “Assessment of cycle-related environmental impacts caused by buildings”, beginning at the end of 2017 and running until 2022,

Acknowledgements

The work presented in this paper has been developed by the authors as a contribution to the IEA EBC Annex 57 project. The authors would like to acknowledge all the project participants and all national funding organisations. This paper summarizes and highlights main research activities, outcomes and findings

from Annex 57, drawing content from Annex 57's final reports and related publications. The authors appreciate strong leadership and technical contribution of subtask leaders, as well as contributions from all participants of Annex 57. The IEA (International Energy Agency)'s Energy in Buildings and Communities (EBC) Programme (iea-ebc.org) carries out research and development activities toward near-zero energy and carbon emissions in the built environment. These joint research projects are directed at energy saving technologies and activities that support technology application in practice. Results are also used in the formulation of international and national energy conservation policies and standards. Tatsuo Oka, the operating agent of Annex 57, appreciated the strong support from IEA EBC's Chair, Secretary, and the executive committee.

References

- [1] UNEP, Buildings and Climate Change: A Summary for Decision-Makers. United Nations Environmental Programme, Sustainable Buildings and Climate Initiative, Paris, 2009, pp. 1–62.
- [2] IPCC, Climate Change 2014: Mitigation of Climate Change. Contribution of Working Group III to the Fifth Assessment Report of the Intergovernmental Panel on Climate Change, in: O. Edenhofer, R. Pichs-Madruga, Y. Sokona, E. Farahani, S. Kadner, K. Seyboth, A. Adler, I. Baum, S. Brunner, P. Eickemeier, B. Kriemann, J. Savolainen, S. Schlömer, C. von Stechow, T. Zwickel, J.C. Minx (Eds.), Cambridge University Press Cambridge, United Kingdom and New York, NY, USA, 2014.
- [3] EPBD, Directive 2010/31/EU of the European Parliament and of the Council of 19 May 2010 on the Energy Performance of Buildings, European Commission, 2010.
- [4] ASHRAE, Energy Standard for Buildings Except Low-Rise Residential Buildings, American Society of Heating, Refrigerating, and Air-Conditioning Engineers (ASHRAE) Standards Committee 90.1, 2013.
- [5] EFKM, Strategy for Energyrenovation of Buildings. Roadmap for the Future Energyeffective Buildings in Denmark (in Danish: Strategi for energirenovering af bygninger. Vejen til energieffektive bygninger i fremtidens Danmark), Danish Ministry of Energy, Utilities and Climate (EFKM), 2014.
- [6] H. Birgisdóttir, S.S. Madsen, Buildings embodied energy and environmental impacts, in: Danish: Bygningers indlejrede energi og miljøpåvirkninger, Report 2017:08, Danish Building Research Institute, Aalborg University, 2017.
- [7] E. Gavotsis, A. Moncaster, Practical limitations in Embodied Energy and Carbon measurement, and how to address them: a UK case study, World Sustainable Building Conference 2014, CIB Proceedings (2014).
- [8] N. Yokoo, T. Oka, K. Yokoyama, T. Sawachi, M. Yamamoto, Comparison of embodied energy/CO₂ of office buildings in China and Japan, J. Civil Eng. Archit. 9 (2015) 300–307.
- [9] T. Oka, K. Yokoyama, M. Yamamoto, Introduction of annex 57- evaluation of embodied energy/CO₂eq for construction worldwide and measure to reduce them, Clima 2016 – Proceedings of the 12th REHVA World Congress. (2016).
- [10] ISO 21929-1, Sustainability in Building Construction – Sustainability Indicators – Part 1: Framework for the Development of Indicators and a Core Set of Indicators for Buildings, ISO International Standardisation Organisation, 2011.
- [11] ISO 21931-10, Framework for Methods of Assessment of the Environmental Performance of Construction Works –Part 1: Buildings, ISO International Standardisation Organisation, 2010.
- [12] EN 15643-2, Sustainability of Construction Works. Assessment of Buildings. Framework for the Assessment of Environmental Performance of Buildings – Calculation Method, 2011.
- [13] EN 15978, Sustainability of Construction Works – Assessment of Environmental Performance of Buildings – Calculation Method, CEN – European Committee for Standardization, 2011.
- [14] ISO 21930, Sustainability in Building Construction – Environmental Declaration of Building Products, ISO International Standardisation Organisation, 2007.
- [15] EN 15804:2012+A1, Sustainability of Construction Works – Assessment of Environmental Performance of Buildings – Environmental Product Declaration – Core Rules for the Product Category of Construction Products, CEN – European Committee for Standardization, 2013.
- [16] R.H. Crawford, Life Cycle Assessment in the Built Environment, Spon Press London, New York, 2011.
- [17] M.K. Dixit, Life cycle embodied energy analysis of residential buildings: a review of literature to investigate embodied energy parameters, Renew. Sustain. Energy Rev. 79 (2017) 390–413.
- [18] A. Sänjajoki, J. Heinonen, S. Junnila, A. Horvath, Can life-cycle assessment produce reliable policy guidelines in the building sector? Environ. Res. Lett. 12 (1) (2017).
- [19] C.K. Anand, B. Amor, Recent developments, future challenges and new research directions in LCA of buildings: a critical review, Renew. Sustain. Energy Rev. 67 (2017) 408–416.

- [20] F.N. Rasmussen, T. Malmqvist, A. Moncaster, A.A. Houlihan Wiberg, H. Birgisdóttir, Analysing methodological choices in calculations of embodied energy and GHG emissions from buildings. Submitted to Energy and Buildings in December 2016, (2017) (in review March 2017).
- [21] F. Pomponi, A.2016 Moncaster, Embodied carbon mitigation and reduction in the built environment – what does the evidence say? J. Environ. Manage. 181 (2016) 687–700.
- [22] L. Georges, M. Haase, A.A.-M. Houlihan Wiberg, T. Kristjansdóttir, B.D. Risholt, Life cycle emissions analysis of two nZEB concepts, Build. Res. Inf. 43 (1) (2015).
- [23] T. Lützkendorf, G. Foliente, M. Balouktsi, A.A. Houlihan Wiberg, Net-zero buildings: incorporating embodied impacts, Build. Res. Inf. 43 (1) (2015).
- [24] A.A.-M. Houlihan Wiberg, L. Georges, T.T. Dokka, M. Haase, B. Time, A.G. Lien, S.E. Mellegård, M.M. Maltha, A net zero emission concept analysis of a single-family house, Energy Build. 74 (May) (2014).
- [25] T. Oka, Evaluation of Embodied Energy and CO_{2eq} for Building Construction (Annex 57). Summary Report, International Energy Agency, Institute for Building Environment and Energy Conservation, Japan, 2016.
- [26] N. Yokoo, K. Yokoyama, Overview of Annex 57 Results. Evaluation of Embodied Energy and CO_{2eq} for Building Construction (Annex 57), International Energy Agency, Institute for Building Environment and Energy Conservation, Japan, 2016.
- [27] C. Chae, S. Kim, IEA-EBC Annex 57 Subtask 2 Report – A Literature Review, Evaluation of Embodied Energy and CO_{2eq} for Building Construction (Annex 57), International Energy Agency, Institute for Building Environment and Energy Conservation, Japan, 2016.
- [28] S. Seo, G. Foliente, IEA-EBC Annex 57 Subtask 3 Report–Evaluating the Embodied Energy and the Embodied GHG in Building and Construction: Methods and Guidelines. Evaluation of Embodied Energy and CO_{2eq} for Building Construction (Annex 57), International Energy Agency, Institute for Building Environment and Energy Conservation, Japan, 2016.
- [29] H. Birgisdóttir, A. Houlihan Wiberg, T. Malmqvist, A. Moncaster, M. Nehasilova, F.N. Rasmussen, E. Soulti, IEA-EBC Annex 57 Subtask 4 Report – Recommendations for the Reduction of Embodied Greenhouse Gases and Embodied Energy from Buildings. Evaluation of Embodied Energy and CO_{2eq} for Building Construction (Annex 57), International Energy Agency, Institute for Building Environment and Energy Conservation, Japan, 2016.
- [30] T. Lützkendorf, M. Balouktsi, Guideline for Designers and Consultants –Part 1. Basics for the Assessment of Embodied Energy and Embodied GHG Emissions. Evaluation of Embodied Energy and CO_{2eq} for Building Construction (Annex 57), International Energy Agency, Institute for Building Environment and Energy Conservation, Japan, 2016.
- [31] H. Birgisdóttir, A. Houlihan Wiberg, Subtask 4: Guideline for Designers and Consultants – Part 2. Strategies for Reducing EEG. Evaluation of Embodied Energy and CO_{2eq} for Building Construction (Annex 57), International Energy Agency, Institute for Building Environment and Energy Conservation, Japan, 2016.
- [32] A. Passer, M. Balouktsi, T. Lützkendorf, Guidance to Support Construction Product Manufacturers in Their Decision Making Process. Evaluation of Embodied Energy and CO_{2eq} for Building Construction (Annex 57), International Energy Agency, Institute for Building Environment and Energy Conservation, Japan, 2016.
- [33] M. Mistretta, F. Guarino, Guideline for Policy Makers. Evaluation of Embodied Energy and CO_{2eq} for Building Construction (Annex 57), International Energy Agency, Institute for Building Environment and Energy Conservation, Japan, 2016.
- [34] J. Železná, P. Hájek, A. Lupíšek, M. Nehasilová, Guidelines for Education. Evaluation of Embodied Energy and CO_{2eq} for Building Construction (Annex 57), International Energy Agency, Institute for Building Environment and Energy Conservation, Japan, 2016.
- [35] M.A. Tararcon, Assessing energy-related CO₂ emissions with sensitivity analysis and input-output techniques, Spain, Energy 2012 (2012).
- [36] EDP, Website for Environmental Declaration of Products and Korea LCI Database Network, (2014) <http://www.edp.or.kr/en/lci/lci.intro.asp>, Accessed 15 March.
- [37] SIA, Merkblatt 2032: Graue Energie von Gebäuden, SchweizerischerIngenieur- und Architektenverein (SIA), Zürich, 2010.
- [38] N. Crishna, P.F.G. Banfill, S. Goodsir, Embodied energy and CO₂ in UK dimension stone, UK 2011 resources, Resour. Conserv. Recycl. 55 (12) (2011) 1265–1273 <http://www.sciencedirect.com/science/article/pii/S0921344911001406>.
- [39] M.K. Dixit, J.L. Fernández-Solís, S. Lavy, C.H. Culp, Need for an embodied energy measurement protocol for buildings: a review paper, Renew. Sustain. Energy Rev. 16 (6) (2012) 3730–3743.
- [40] M.K. Dixit, C.H. Culp, J.L. Fernández-Solís, System boundary for embodied energy in buildings: a conceptual model for definition, Renew. Sustain. Energy Rev. 21 (2013) 153–164.
- [41] Y.L. Langston, C.A. Langston, Reliability of building embodied energy modelling: an analysis of 30 Melbourne case studies, Constr. Manage. Econ. 26 (2) (2008) 147–160.
- [42] SETAC, in: F. Consoli (Ed.), Guidelines for Life Cycle Assessment: A Code of Practice, Edition 1, Society of Environmental Toxicology and Chemistry, Pensacola, 1993.
- [43] UN, Sustainable Development Knowledge Platform. Sustainable Development Goals, 2017 <https://sustainabledevelopment.un.org/?menu=1300>.
- [44] M.K. Dixit, J.L. Fernandez-Solis, S. Lavy, C.H. Culp, Identification of parameters for embodied energy measurement: a literature review, Energy and Buildings 42 (8) (2010) 1238–1247.
- [45] T. Lützkendorf, M. Balouktsi, Basics, IEA-EBC Annex 57 Subtask 1 Report – Actors and Concepts. Evaluation of Embodied Energy and CO_{2eq} for Building Construction (Annex 57), International Energy Agency, Institute for Building Environment and Energy Conservation, Japan, 2016.
- [46] ISO14025, Environmental Labels and Declarations – Type III Environmental Declarations – Principles and Procedures, ISO International Standardisation Organisation, 2006.
- [47] H. Birgisdóttir, Subtask 4: Case Study Collection Report. Evaluation of Embodied Energy and CO_{2eq} for Building Construction (Annex 57), International Energy Agency, Institute for Building Environment and Energy Conservation, Japan, 2016.
- [48] H. Birgisdóttir, F.N. Rasmussen, Technical systems' share of embodied energy, Department of Civil Engineering, Aalborg University., in: P.K. Heiselberg (Ed.), Danish Building LCA Cases. CLIMA 2016 – Proceedings of the 12th REHVA World Congress, 6, 2016.
- [49] A. Passer, H. Kreiner, P. Maydl, Assessment of the environmental performance of buildings: a critical evaluation of the influence of technical building equipment on residential buildings, Int. J. Life Cycle Assess. 17 (2012) (2012) 116–1130.
- [50] J. Nakao, T. Malmqvist, M. Glaumann, Basic Analysis to Minimize Contribution to Climate Change at Building Design – a Swedish Case Study, Integrated Approach Towards Sustainable Constructions, Department of Civil and Structural Engineering, University of Malta, 2011.
- [51] N.W.O. Brown, Basic energy and global warming potential calculations at an early stage in the development of residential properties, in: Sustainability in Energy and Buildings, SEB'12, Stockholm, Sweden, 2013.
- [52] M. Wallhagen, M. Glaumann, T. Malmqvist, Basic building life cycle calculations to decrease contribution to climate change – case study on an office building in Sweden, Build. Environ. 46 (10) (2011) 1863–1871.
- [53] J. Monahan, J.C. Powell, An embodied carbon and energy analysis of modern methods of construction in housing: a case study using a lifecycle assessment framework, Energy Build. 43 (1) (2011).
- [54] L. Vukotic, R.A. Fenner, K. Symons, Assessing embodied energy of building structural elements, Proc. Inst. Civil Eng. Eng. Sustain. 164 (2010) 147–158.
- [55] H. Darby, A.A. Elmualim, F. Kelly, A case study to investigate the life cycle carbon emissions and carbon storage capacity of a cross laminated timber, multi-storey residential building, in: Sustainable Building Conference, SB13, 23–25 April 2013, Munich, Germany, 2013.
- [56] K. Henson, Learning Legacy: Lessons Learned from the London 2012, Games construction project, London, UK, 2011.
- [57] F. Rasmussen, H. Birgisdóttir, Life cycle assessment of the mini CO₂ houses, in: Danish: Livscyklusvurdering af MiniCO₂-husene i Nyborg, Danish Building Research Institute, Aalborg University, Copenhagen, 2013.
- [58] E.B.P. De Castro, M. Mequignon, L. Adolphe, P. Koptschitz, Impact of the lifespan of different external walls of buildings on greenhouse gas emissions under tropical climate conditions, Energy Build. 76 (2014) 228–237.
- [59] T.H. Dokka, A.A.-M. Houlihan Wiberg, S. Mellegård, L. Georges, B. Time, M. Haase, A.G. Lien, A Zero Emission Concept Analysis of a Single Family House. ZEB Project Report (9), The Research Centre on Zero Emission Buildings (ZEB), 2013 (ISBN 978-82-536-1324-6).
- [60] T. Kristjansdóttir, T.H. Dokka, B. Time, S. Mellegård, M.J. Haase Tønnesen, A Zero Emission Concept Analysis of an Office Building. ZEB Project Report 8, SINTEF, Academic Press, Oslo, Norway, 2013.
- [61] M.R. Inman, A.A.-M. Houlihan Wiberg, Life Cycle GHG Emissions of Material Use in the Living Laboratory. I: CESB16 – Central Europe Towards Sustainable Building 2016, Grada Publishing 2016, 2016, pp. 1381–1388 (ISBN 978-80-271-0248-8).
- [62] A. Rauf, R.H. Crawford, Building service life and its effect on the life cycle embodied energy of buildings, Energy 79 (2015) 140–148.
- [63] K. Yokoyama, M. Yamamoto, N. Yokoo, T. Oka, T. Sawachi, Study on impact of embodied energy and greenhouse gas emissions for prolongation of building life time: case Study in Japan, J. Civil Eng. Archit. 9 (3) (2015).
- [64] H.M. Knight, How can Sustainability Be Managed in Design and Construction to Reduce the Carbon Footprint of Buildings? Using the Olympic Delivery Authority as a Case Study, University of Cambridge, 2013.
- [65] C. Liljenström, T. Malmqvist, M. Erlandsson, J. Freden, I. Adolfsson, G. Larsson, M. Brogren, Byggnadens klimatpåverkan. Livscykelberäkning av klimatpåverkan och energianvändning för ett nyproducerat energieffektivt flerbostadshus i betong, Sveriges byggindustrier., Stockholm, 2015.